

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service as Express Mail No. EL155985378US, in an envelope addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Date: October 25, 2001

*John J. Brizzi*

JC879 U.S.  
10/03/01  
10/25/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Application of: )  
PANKAJ K. MEHROTRA et al. ) Group Art Unit  
)  
Serial No. (to be assigned) )  
) Examiner To be assigned  
Filed: October 25, 2001 )  
)  
For: PROCESS FOR HEAT TREATING ) Attorney Docket K-1436PD  
CERAMICS AND ARTICLES OF )  
MANUFACTURE MADE THEREBY )

October 25, 2001

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Applicant(s) submit herewith patents, publications or other information, of which they are aware and that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose. The Information Disclosure Statement submitted herewith is being filed:

- under 37 CFR 1.97(b); within three (3) months of the filing of a national application; within three (3) months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing date of a first Office Action on the merits; or before the mailing of a first office action after the filing of a request for continued examination under § 1.114, whichever event occurs last.
- under 37 CFR 1.97(c); after three (3) months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either a Final Action under 37 CFR 1.113, or a Notice of Allowance under 37 CFR 1.311, whichever occurs first. Also submitted herewith is:

- a statement as specified in 37 CFR 1 .97(e); or
  - the fee of \$180.00 set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c) to be paid as provided below.
  - under 37 CFR 1.97(d); after a Final Action under Section 1.113, or a Notice of Allowance under Section 1 .311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is a statement as specified in 37 CFR 1 .97(e) and the fee of \$180.00 set forth in 37 CFR 1.17(p) to be paid as provided below.

**STATEMENT UNDER 37 CFR 1.97(e)**

- This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.
    - Statement Under 37 CFR 1 .97(e)(1) - Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of the information disclosure statement.
    - Statement Under 37 CFR 1 .97(e)(2) - No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1 .56(c) more than three months prior to the filing of the information disclosure statement.

## **PRIOR APPLICATIONS**

- This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application(s):

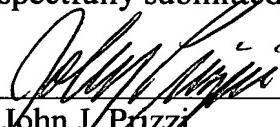
Serial No. \_\_\_\_\_, filed on \_\_\_\_\_.  
Serial No. \_\_\_\_\_, filed on \_\_\_\_\_.  
Serial No. \_\_\_\_\_, filed on \_\_\_\_\_.

Those references listed on the attached form and not submitted herewith were submitted to, and/or cited by, the Office in the prior application(s) identified above and therefore, are not required to be provided in this application.

**FEES**

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge any fees that may be required by this submission and to credit Deposit Account No. 11-0508 any overpayment. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
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Date: October 25, 2001

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